

Appendix B

Progress against the 2013-2014 Internal Audit Plan

The following table shows the audits included within the 2013-2014 Internal Audit Plan approved by Performance and Audit Scrutiny Committee earlier this year, and the current status of each audit at the end of October 2013.

AUDIT AREA	STATUS
<u>Core Financial Systems and Fundamental Review Work</u>	
Main Accounting System / General Ledger	Not yet started
Accounts Payable (Creditors)	Work in progress – testing underway
Accounts Receivable (Debtors)	Work in progress – testing underway
Payroll	Work in progress – testing underway
Treasury Management	Complete
Council Tax	Work in progress – testing underway
Non Domestic Business Rates	Work in progress – testing underway
Housing and Council Tax Benefits	Work in progress – testing underway
ICT – Software Licences	Complete
Cash Handling – Car Parks	Work in progress – testing underway
Risk Management Arrangements	Not intended to be undertaken in 2013-2014
<u>Non-Fundamental Review Work</u>	
Compliance with Legislation – Anti Money Laundering	Complete

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Compliance with Legislation – Bribery Act	Complete
Compliance with Legislation – Payment Card Industry Data Security	Complete
Elections Account	Draft report stage - testing complete, draft report issued and currently being discussed with management
Payment Cards	Draft report stage - testing complete, draft report issued and currently being discussed with management
Compliance with Legislation – CCTV	Complete
Contract Procedures	Not intended to be undertaken in 2013-2014
Fees and Charges	Not intended to be undertaken in 2013-2014
Performance Indicators	Not intended to be undertaken in 2013-2014
Use of criminal record checks in recruitment process	Not intended to be undertaken in 2013-2014
Members and Officers Gifts and Hospitality and Members Allowances and Expenses	Complete