

Forest Heath District Council

**PERFORMANCE AND
AUDIT SCRUTINY
COMMITTEE**

28 NOVEMBER 2013

PAS13/023

(This report is not a key decision. This report has been subject to appropriate notice of publication under the Council's Access to Information Rules).

Report of the Head of Resources & Performance

STRATEGIC RISK REGISTER – QUARTERLY MONITORING REPORT– SEPTEMBER 2013

1. Summary and reasons for recommendation

- 1.1 The Council's Strategic Risk Register is updated regularly by the Risk Management Group. At its most recent assessment in September 2013, the Group reviewed the Target Risk, the risk level where the Council aims to be, and agreed a Current Risk assessment. These assessments form an integral part the Council's revised Strategic Risk Register at **Appendix 1**.
- 1.2 Since the last assessment reported to the Committee on 1 August 2013, there have been no new risks identified and no risks have been amended or closed. Some individual controls or actions have been updated and those that were not ongoing and had been completed by September 2013 have been removed from the register.
- 1.3 While a single risk register for West Suffolk has not yet been compiled, the development of the single management and service structure across Forest Heath and St Edmundsbury has seen considerable similarity between the risk registers of the respective councils.

2. Recommendation

- 2.1 Members are invited to scrutinise the updated Strategic Risk Register at Appendix 1 and to refer any major issues requiring attention to the Cabinet.

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3. How will the recommendations help us meet our strategic priorities?

- 3.1 The Council's Strategic Plan for 2012-2016, adopted by Council in June 2012, includes four key priority areas supported by a range of actions to deliver specific outcomes.
- 3.2 The Strategic Risk Register identifies and records the level of risk associated with delivering the Council's plans alongside meeting its statutory responsibilities and the organisation's overall ability to respond to change. Through assessment of risk and the likelihood and impact of potential failure to meet these challenges, the level of controls and, where necessary, action required is identified and implemented.

4. Key issues

- 4.1 The Council's Strategic Risk Register is updated regularly by the Risk Management Group. The Group is comprised of service representatives, including Health and Safety, supported by a Director and the Portfolio Holder for Resources, Governance and Performance. Heads of Service may be required to provide further information as requested by the Group.
- 4.2 At its most recent assessment in September 2013 the Group reviewed the Target Risk, the risk level where the Council aims to be, and agreed a Current Risk assessment. These assessments form the Council's revised Strategic Risk Register at **Appendix 1**.
- 4.3 Part of this assessment included the consideration of the Controls and actions in place to address the individual risks. Where Target Risk levels are lower than the Current Risk assessment, further action is either being taken or planned in order to treat the risk and meet the target.

5. New or amended risks

- 5.1 There have been no new risks identified in the period. No risks have been amended but where current controls and actions have been updated, these are reflected in the individual risk record.

6. Closed Risks

- 6.1 No risks have been closed since the last assessment which was reported to the Committee on 1 August 2013.

7. Other options considered

- 7.1 Not applicable.

8. Community impact

- 8.1 **Crime and disorder impact** *(including Section 17 of the Crime and Disorder Act 1998)*

- 8.1.1 None.

8.2 Diversity and equality impact *(including the findings of the Equality Impact Assessment)*

8.2.1 None.

8.3 Sustainability impact *(including completing a Sustainability Impact Assessment)*

8.3.1 None.

8.4 Other impact *(any other impacts affecting this report)*

8.4.1 None.

9. Consultation *(what consultation has been undertaken, and what were the outcomes?)*

9.1 Not applicable.

10. Financial and resource implications *(including asset management implications)*

10.1 There are no direct financial or budget implications arising from this report. Specific risks associated with finance and resources are included in the Strategic Risk Register at **Appendix 1**.

11. Risk/opportunity assessment *(potential hazards or opportunities affecting corporate, service or project objectives)*

Risk area	Inherent level of risk (before controls)	Controls	Residual risk (after controls)
See individual assessments against each risk as detailed in Appendix 1			

12. Legal and policy implications

12.1 There are no legal implications from this report.

13. Wards affected

13.1 All.

14. Background papers

14.1 None.

15. Documents attached

15.1 Appendix 1 – Forest Heath District Council Strategic Risk Register 2013-2014